



IFW

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicants : Zhengkai YIN and Zumi LU
Serial No. : 10/664,675
Filed : September 1, 2003
For : **ELECTROLUMINESCENCE (EL) TUBE AND WIRE AND MANUFACTURING METHOD**
Examiner : William H. MAYO, III
Art Unit : 2831
Docket No.: 13936 B

Transmitted herewith is/are the following:

- ☒ RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT (37 CFR 1.121) w/MAILING CERTIFICATE
- ☒ Copy of Notice of Non-Compliant Amendment
- ☒ Ten (10) Sheets of Replacement Drawings (Figs. 1-10)
- ☒ Abstract
- ☒ Other Acknowledgment Postcard

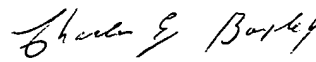
☒ The Commissioner is authorized to charge any additional fees required, or to credit any overpayment to Account No. 02-1435. A duplicate copy of this sheet is enclosed.

☐ A check in the amount of \$__ is enclosed.

☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop-No Fee Response, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 29, 2005.

Respectfully,

Dated: March 29, 2005
CHARLES E. BAXLEY, ESQUIRE
Hart, Baxley, Daniels & Holton
90 John Street, Third Floor
New York, New York 10038



CHARLES E. BAXLEY
Attorney of Record
USPTO REG. 20,149

Tel: (212) 791-7200
Fax: (212) 791-7276
E-Mail: ceb@hartbaxley.com
CEB:lp/13936B



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/664,675	09/18/2003	Yin Zhengkai	13936 B	4065

7590 03/07/2005
CHARLES E. BAXLEY, ESQUIRE
Third Floor
90 John Street
New York, NY 10038

EXAMINER

MAYO III, WILLIAM H

ART UNIT PAPER NUMBER

2831

DATE MAILED: 03/07/2005

Please find below and/or attached an Office communication concerning this application or proceeding.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. Box 1450
ALEXANDRIA, VA 22313-1450
www.uspto.gov

Notice of Non-Compliant Amendment (37 CFR 1.121) **10/664675**

The amendment document filed on **2-24-05** is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121. In order for the amendment document to be compliant, correction of the following item(s) is required. **Only the corrected section of the non-compliant amendment document must be resubmitted (in its entirety), e.g., the entire "Amendments to the claims" section of applicant's amendment document must be re-submitted.** 37 CFR 1.121(h).

THE FOLLOWING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☐ 1. Amendments to the specification:
- ☐ A. Amended paragraph(s) do not include markings.
 - ☐ B. New paragraph(s) should not be underlined.
 - ☐ C. Other
- ☒ 2. Abstract:
- ☒ A. Not presented on a separate sheet. 37 CFR 1.72.
 - ☐ B. Other
- ☒ 3. Amendments to the drawings: **NOT PRESENT**
- ☐ 4. Amendments to the claims:
- ☐ A. A complete listing of all of the claims is not present.
 - ☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
 - ☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).
 - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
 - ☐ E. Other:

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officeflyer.pdf>.

If the non-compliant amendment is a **PRELIMINARY AMENDMENT**, applicant is given ONE MONTH from the mail date of this letter to supply the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in non-entry of the preliminary amendment and examination on the merits will commence without consideration of the proposed changes in the preliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this **ONE MONTH** time limit is not extendable.

If the non-compliant amendment is a reply to a **NON-FINAL OFFICE ACTION** (including a submission for an RCE), and since the amendment appears to be a *bona fide* attempt to be a reply (37 CFR 1.135(c)), applicant is given a TIME PERIOD of ONE MONTH from the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 in order to avoid abandonment. **EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).**

If the amendment is a reply to a **FINAL REJECTION**, this form may be an attachment to an Advisory Action. The period for response to a final rejection continues to run from the date set in the final rejection, and is not affected by the non-compliant status of the amendment.

Renee Hawkins **571-272-1581**
Legal Instruments Examiner (LIE) Telephone No.